

Two models with extra Higgs doublets and Axions



H. Serôdio (KAIST)

4th KIAS Workshop Particle Physics and Cosmology, 30 October 2014.

In collaboration with: Alejandro Celis, Javier Fuentes-Martin
Works: [PLB700\(2011\)](#); [PLB737\(2014\)](#); [arXiv:1410.6217](#) and
[arXiv:1410.6218](#)

Simple

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Outline

- 1 Introduction
- 2 Effective A2HDM with an Axion
- 3 3 Higgs Flavored PQ Model
- 4 Conclusion

Introduction: The Strong CP Problem in a nutshell

- $U(1)_A$ is anomalous (Good η' is massive)
- A new term is introduced due to this anomaly

$$\bar{\theta} \frac{g_s^2}{32\pi^2} G_{a,\mu\nu} \tilde{G}_a^{\mu\nu}$$

- $\bar{\theta}$ violates T and P, and therefore also CP. From the neutron electric dipole moment $|\bar{\theta}| \lesssim 10^{-11}$.
- With just QCD $\bar{\theta} = 0$ as initial condition would be stable under radiative corrections. No longer true in the SM

$$\bar{\theta} = \theta_{QCD} + \text{Arg}(\text{Det}(M_q))$$

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Problem: Why is $\bar{\theta}$ so small? **One interesting solution:** "promote" this phase to a dynamical field, the Axion.

The original axion model:

- **PQ**: $\text{SM} + \Phi_2 = 2\text{HDM}$.
(axion scale = electroweak scale, ruled out)

[Peccei, Quinn (1977)]

One way out: split both scales ($\langle S \rangle \gg \langle \phi_i \rangle$)

- **KSVZ**: $\text{SM (blind)} + Q_{L,R} + S$
- **DFSZ**: $\text{SM} + \Phi_2 + S = 2\text{HDM} + S$

[Zhitnitskii (1980); Dine, Fischler, Srednicki (1981)]

Introduction: Standard Axion Models

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[Kim (1979); Shifman, Vainshtein, Zakharov (1980)]

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I will work on DFSZ-like extensions, i.e **NHDM + S**

Introduction: Adding scalar doublets

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- **CP** can be spontaneously generated
- **Dark** matter candidates
- New **mechanisms** for **neutrino mass**
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Natural Flavour Conservation [Weinberg, Glashow (1977); Pachos (1997)]

Idea: Allow just one Yukawa coupling in each sector

Model	up	down	lepton
Type-I	Φ_2	Φ_2	Φ_2
Type-II	Φ_2	Φ_1	Φ_1
Lepton-specific	Φ_2	Φ_2	Φ_1
Flipped	Φ_2	Φ_1	Φ_2

- **Pros:** No FCNCs at tree level; Easy to implement with a symmetry
- **Cons:** No spontaneous CPV
- PQ symmetry: invisible **DFSZ** axion model

Introduction: Two additional solutions to FCNCs in 2HDM

- **Yukawa alignment** [Pich, Tuzon (2009)]

Idea: Request that in each sector all Yukawas are proportional

- **Pros:** No FCNCs at tree level; General scalar sector; Contains the NFC cases as particular limits; Allows spontaneous CPV
- **Cons:** Not implemented by symmetry [Ferreira, Lavoura, Silva (2010)]
- See it as a low energy **effective aligned 2HDM** [Bae (2012); HS (2011)]

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- **The BGL model** [Branco, Grimus, Lavoura (1996)]

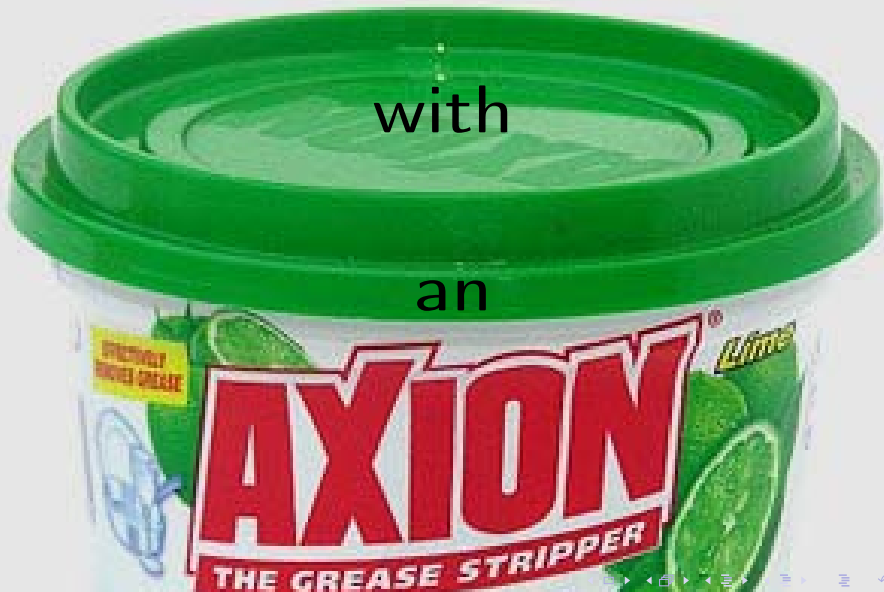
Idea: Allow FCNCs in just one sector

$$\text{Up Yukawas: } \Delta_1 = \begin{pmatrix} \times & \times & 0 \\ \times & \times & 0 \\ 0 & 0 & 0 \end{pmatrix}, \quad \Delta_2 = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & \times \end{pmatrix}$$

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- No FCNCs in the up sector, FCNCs in the down sector small
- Unique implementation in 2HDM [Ferreira, Silva (2011); HS (2013)]

Effective A2HDM



Matter content and Lagrangian

Under the $U(1)_{PQ}$ symmetry

$$\begin{array}{l} \text{Fermions:} \\ \text{Scalars:} \end{array} \left[\begin{array}{ll} Q_{L\alpha} \rightarrow Q_{L\alpha}, & \ell_{L\alpha} \rightarrow e^{iX_\ell \theta} \ell_{L\alpha}, \\ u_{R\alpha} \rightarrow e^{iX_u \theta} u_{R\alpha}, & e_{R\alpha} \rightarrow e^{iX_e \theta} e_{R\alpha}, \\ d_{R\alpha} \rightarrow e^{iX_d \theta} d_{R\alpha}, & \end{array} \right.$$
$$\left[S \rightarrow e^{iX_S \theta} S, \quad \Phi_j \rightarrow e^{iX_j \theta} \Phi_j, \quad \phi_j \rightarrow \phi_j \right.$$

Φ_j are active fields, i.e. they interact with fermions (*a la* NFC)

$$-\mathcal{L}_Y = \overline{Q}_L \Gamma \Phi_1 d_R + \overline{Q}_L \Delta \tilde{\Phi}_2 u_R + \overline{\ell}_L \Pi \Phi_k e_R + \text{h.c.}$$

ϕ_j are passive fields, i.e. they don't interact directly with fermions but they mix with the actives

$$V \supset \mu_{1,j} \Phi_1^\dagger \phi_j S + \mu_{2,j} \Phi_2^\dagger \phi_j S^* + \text{h.c.}$$

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A decoupling limit

We have in leading order a $SU(2)_L$ conserving mixing between doublets. Defining $\varphi = (\Phi_1, \Phi_2, \phi_1, \phi_2)^T$, we want to diagonalize the mass terms for the doublets

$$\varphi_i^\dagger \mathcal{M}_{ij} \varphi_j \quad \text{with} \quad \mathcal{M} = \begin{pmatrix} \mathcal{M}_A & \mathcal{M}_B \\ \mathcal{M}_B^\dagger & \mathcal{M}_C \end{pmatrix}.$$

The mass eigenstates are H_i , with $M_{H_1} \leq M_{H_2} \leq M_{H_3} \leq M_{H_4}$.

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$$\begin{pmatrix} \Phi_1 \\ \Phi_2 \\ \phi_1 \\ \phi_2 \end{pmatrix} = \begin{pmatrix} \mathcal{R}_{13} & \mathcal{R}_{14} \\ \mathcal{R}_{23} & \mathcal{R}_{24} \end{pmatrix} \begin{pmatrix} H_1 \\ H_2 \\ H_3 \\ H_4 \end{pmatrix}$$

since after the H_1 and H_2 decoupling

$$-\mathcal{L}_Y^{\text{eff}} = \overline{Q}_L \Gamma (\mathcal{R}_{13} H_3 + \mathcal{R}_{14} H_4) d_R + \overline{Q}_L \Delta (\mathcal{R}_{23}^* \tilde{H}_3 + \mathcal{R}_{24}^* \tilde{H}_4) u_R \\ + \overline{\ell}_L \Pi (\mathcal{R}_{k3} H_3 + \mathcal{R}_{k4} H_4) e_R + \text{h.c.}$$

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Axion properties

Axion-gluon coupling

$$a \frac{g_s^2 |C_{ag}|}{32\pi^2 v_{PQ}} G_{a,\mu\nu} \tilde{G}_a^{\mu\nu}, \quad \text{with} \quad C_{ag} = 6 \quad N_{DW} \neq 1$$

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Axion-photon coupling

$$a \frac{\alpha}{8\pi v_{PQ}} C_{ag} C_{a\gamma}^{\text{eff}} F_{\mu\nu} \tilde{F}^{\mu\nu} \quad \text{with} \quad C_{a\gamma}^{\text{eff}} \simeq \frac{C_{a\gamma}}{C_{ag}} - \frac{2}{3} \frac{4+z}{1+z},$$

$$C_{a\gamma}/C_{ag} = \begin{cases} 8/3, & \Phi_d \\ 2/3, & \Phi_u \end{cases}$$

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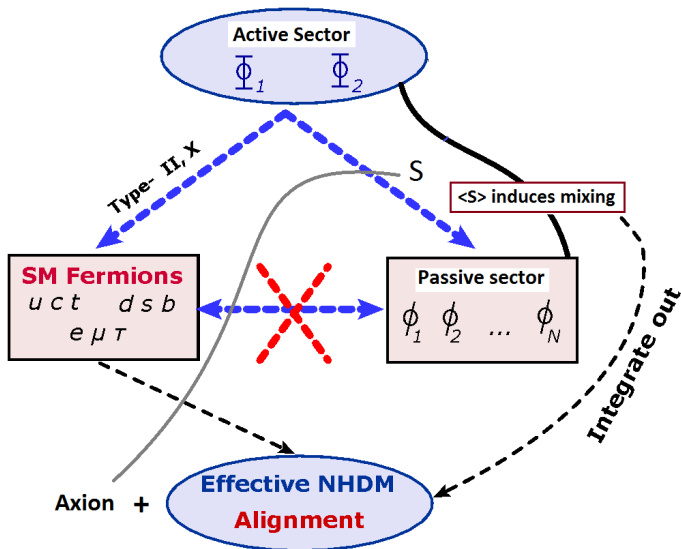
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just as DFSZ

Axion-electron axial coupling

$$g_{ee}^A \frac{\partial_\mu a}{2v_{PQ}} \bar{e} \gamma^\mu \gamma_5 e, \quad g_{ee}^A = \begin{cases} 2 \frac{u_2^2}{v^2} + \frac{v_1^2 + v_2^2}{v^2} & \text{for } \Phi_d \\ -2 \frac{u_1^2}{v^2} - \frac{v_1^2 + v_2^2}{v^2} & \text{for } \Phi_u \end{cases}$$

Conclusions I



3 Higgs Flavored PQ Model



(3HFPQ)

Why is BGL safe from large FCNCs?

$$\text{Up Yukawas: } \Delta_1 = \begin{pmatrix} \times & \times & 0 \\ \times & \times & 0 \\ 0 & 0 & 0 \end{pmatrix}, \quad \Delta_2 = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & \times \end{pmatrix}$$

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In the mass basis

$$N_d = \frac{v_2}{v_1} D_d - \frac{v_2}{\sqrt{2}} \left(\frac{v_1}{v_2} + \frac{v_2}{v_1} \right) U_{dL}^\dagger e^{i\theta} \Gamma_2 U_{dR}$$

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$$[N_d]_{ij} = \frac{v_2}{v_1} [D_d]_{ij} - \left(\frac{v_2}{v_1} + \frac{v_1}{v_2} \right) V_{3i}^* V_{3j} [D_d]_{jj} \rightarrow \begin{cases} |\Delta S| = 2 \text{ transitions} \\ |V_{td}^* V_{ts}|^2 \sim \lambda^{10} \end{cases}$$

[Botella, Branco, Carmona, Nebot, Pedro, Rebelo (2014)]

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Can we add an **axion** to this setup?

Finding the anomalous implementation

- Up sector block diagonal

$$S_L = \text{diag} \left(1, 1, e^{iX_{tL}\theta} \right), \quad S_R^u = \text{diag} \left(e^{iX_{uR}\theta}, e^{iX_{uR}\theta}, e^{iX_{tR}\theta} \right)$$

- Down sector unconstrained

$$S_R^d = e^{iX_{dR}\theta} \mathbb{I}$$

Yukawa phase transformation matrix

$$\Theta_u = \theta \begin{pmatrix} X_{uR} & X_{uR} & X_{tR} \\ X_{uR} & X_{uR} & X_{tR} \\ X_{uR} - X_{tL} & X_{uR} - X_{tL} & X_{tR} - X_{tL} \end{pmatrix}$$
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Anomaly free condition: $2X_{tL} - (2X_{uR} + X_{tR} - 3X_{dR}) = 0$

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BGL 2HDM is anomaly free. We need at least 3 Higgs

3HFPQ model

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Field transformations

$$X_{\alpha L}^Q = (0, 0, -2), \quad X_{\alpha R}^u = (5/2, 5/2, -1/2), \quad X_R^d = -5/2 \\ X_{\alpha L}^\ell = (0, 0, 1), \quad X_{\alpha R}^l = -1/2, \quad X_R^N = 1/2, \quad X_S = 1$$

Lagrangian

$$-\mathcal{L}_Y = \overline{Q_L^0} [\Gamma_1 \Phi_1 + \Gamma_3 \Phi_3] d_R^0 + \overline{Q_L^0} [\Delta_1 \tilde{\Phi}_1 + \Delta_2 \tilde{\Phi}_2] u_R^0 \\ + \overline{L_L^0} [\Pi_2 \Phi_2 + \Pi_3 \Phi_3] l_R^0 + \overline{L_L^0} \Sigma_3 \tilde{\Phi}_3 N_R^0 + \overline{(N_R^0)^c} \mathbf{A} N_R^0 \mathbf{S}^* + \text{h.c.},$$

The leptonic sector

$$\Pi_1 = 0, \quad \Pi_2 = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ \times & \times & \times \end{pmatrix}, \quad \Pi_3 = \begin{pmatrix} \times & \times & \times \\ \times & \times & \times \\ 0 & 0 & 0 \end{pmatrix},$$

Two right-handed neutrinos

$$\Sigma_3 = \begin{pmatrix} \times & \times \\ \times & \times \\ 0 & 0 \end{pmatrix}$$

$$m_\nu \simeq -\frac{v_3^2 e^{i(\alpha_{PQ} - 2\alpha_3)}}{2\sqrt{2}v_{PQ}} \Sigma_3 A^{-1} \Sigma_3^T = \begin{pmatrix} \times & \times & 0 \\ \times & \times & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

One **massless** light neutrino. Leptonic sector with a BGL suppression, but with CKM replaced by **PMNS**

$$(N'_e)_{ij} = -\frac{(v_1^2 + v_2^2)}{v_3^2} (D_e)_{ij} + \frac{v^2}{v_3^2} (U^\dagger)_{i3} (U)_{3j} (D_e)_{ij}$$

Axion properties

Axion-gluon coupling: $C_{ag} = 1$, $N_{DW} = 1$

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Axion flavor changing interaction

$$\frac{\partial_\mu a}{2V_{PQ}} \left[\bar{\mu} \gamma^\mu \left(g_{\mu e}^V + \gamma_5 g_{\mu e}^A \right) e + \bar{s} \gamma^\mu \left(g_{sd}^V + \gamma_5 g_{sd}^A \right) d \right] +$$

with

$$g_{sd}^{V,A} = -2V_{ts}^* V_{td}, \quad g_{\mu e}^{V,A} = U_{\tau 2}^* U_{\tau 1}.$$

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$$g_{sd}^{V,A} = -2V_{ts}^* V_{td}, \quad g_{\mu e}^{V,A} = U_{\tau 2}^* U_{\tau 1}.$$

$K^+ \rightarrow \pi^+ a \rightarrow m_a \leq 18 \text{ meV}$

$$|V_{CKM}| \simeq \begin{pmatrix} 0.974 & 0.252 & 0.00351 \\ 0.225 & 0.973 & 0.0412 \\ 0.00867 & 0.040 & 0.999 \end{pmatrix}$$

$\mu^+ \rightarrow e^+ a \gamma \rightarrow m_a \leq 12 \text{ meV}$

$$|V_{PMNS}| \simeq \begin{pmatrix} 0.82 & 0.55 & 0.11 \\ 0.41 & 0.58 & 0.72 \\ 0.41 & 0.58 & 0.69 \end{pmatrix}$$

$$|V_{ts}^* V_{td}| \sim 3.5 \times 10^{-4}$$

$$|V_{\tau 2}^* V_{\tau 1}| \sim 2.4 \times 10^{-1}$$

axion-electron axial coupling

From stellar evolution and white-dwarf cooling considerations.

$$g_{ee}^A = -2 + |U_{\tau 1}|^2 + \frac{v_2^2 + 2v_3^2}{v^2}, \quad m_a \lesssim 1.5/|g_{ee}^A| \text{ meV}$$

We can get $|g_{ee}^A| \in [0, 1.8]$. In the **top-vev dominance regime**, i.e. when $v_2 \sim v$, one obtains an upper bound on the axion mass $m_a \lesssim 1.7 \text{ meV}$

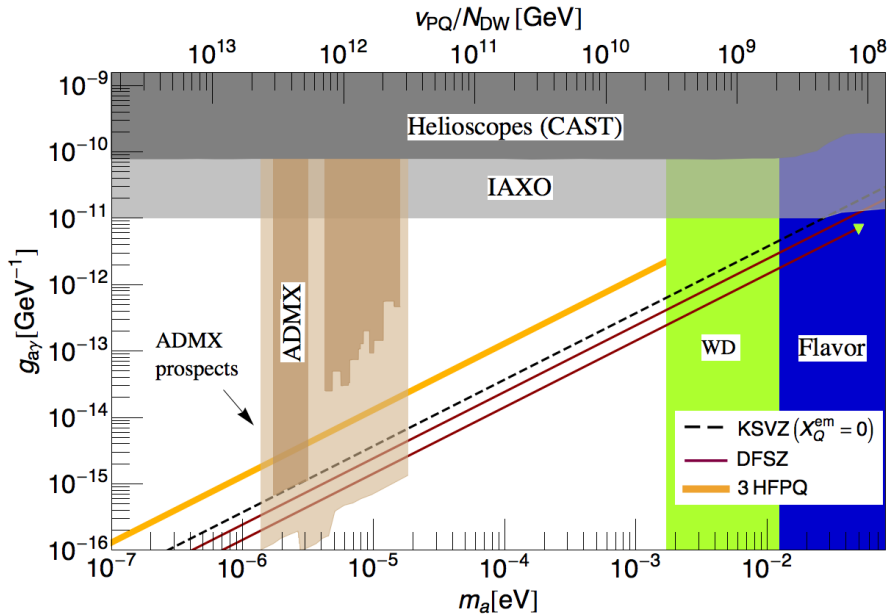
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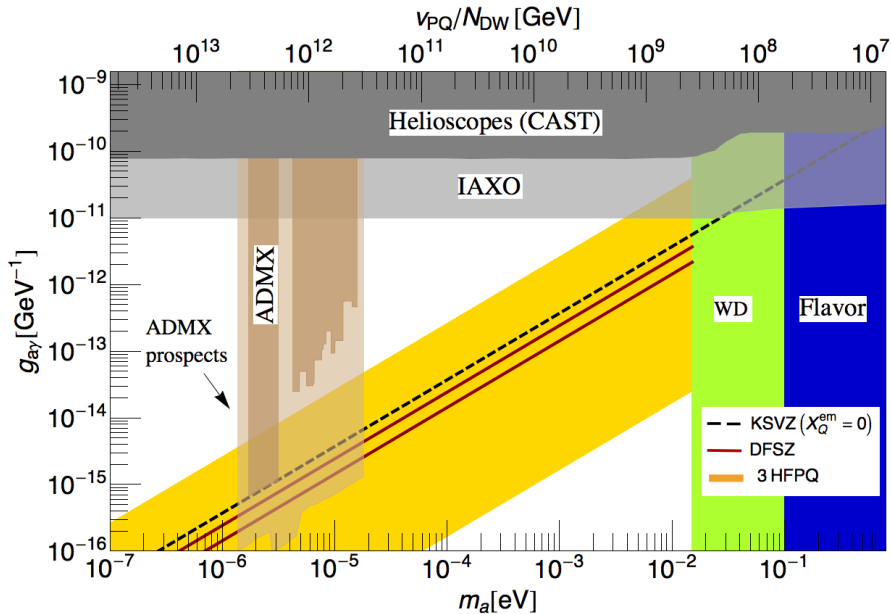
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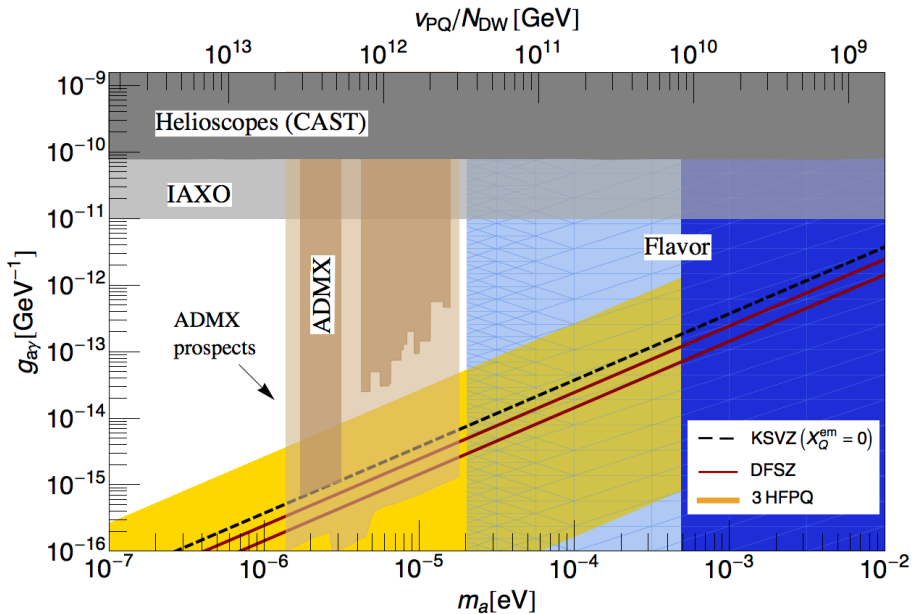
Models	KSVZ	DFSZ	3HFPQ
BSM fields	$Q+S$	Φ_2+S	$\Phi_2+\Phi_3+S$
PQ fields	Q, S	$q, l, \Phi_{1,2}, S$ (flavor blind)	$q, l, \Phi_{1,2,3}, S$ (flavor sensitive)
$C_{a\gamma}/C_{ag}$	$6(X_Q^{em})^2$	$2/3, 8/3$	$26/3$
CtM	No	Yes	Yes
FCAI	No	No	Yes
N_{DW}	1	3, 6	1



Model variations



Permuting flavors



For: charm single out; up single out

- Models with **tree-level FCNCs** in the down-quark or charged lepton sectors receive important constraints on the PQ scale from familon searches in **kaon** and **muon decays**.
- Models with tree-level FCNCs in the down-quark sector for which the **up** (or **charm**) quark is singled out receive the **strongest upper bound** on the axion mass from $K^+ \rightarrow \pi^+ a$ decays since in this case the flavor changing couplings are not as suppressed

$$|V_{us}^* V_{ud}| \sim |V_{cs}^* V_{cd}| \gg |V_{ts}^* V_{td}|.$$

- A large variety of the models considered have $N_{DW} = 1$. One interesting aspect is the fact that we are able to mimic the DFSZ axion coupling to photons and have at the same time $N_{DW} = 1$. A zero $C_{a\gamma}$ can be achieved but only in models with $N_{DW} > 1$.

Backup

The anomalous implementation

- **Case I**

- **Restrictions:** $X_{dR} = -X_{uR}$, $X_{tL} \neq -(X_{uR} - X_{tR})$

$$\text{Texture Matching: } \begin{cases} X_{uR} \neq X_{tR}, \\ X_{tL} \neq X_{tR} - X_{uR} \end{cases}$$

$$\text{Anomaly: } X_{tL} \neq -\frac{1}{2}(X_{uR} - X_{tR})$$

- **Higgs charges:** $X_{\Phi_1} = X_{uR}$, $X_{\Phi_2} = X_{tR} - X_{tL}$, $X_{\Phi_3} = X_{tL} + X_{uR}$

Yukawa textures

$$\Gamma_1 = \begin{pmatrix} \times & \times & \times \\ \times & \times & \times \\ 0 & 0 & 0 \end{pmatrix}, \quad \Gamma_2 = 0, \quad \Gamma_3 = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ \times & \times & \times \end{pmatrix},$$

$$\Delta_1 = \begin{pmatrix} \times & \times & 0 \\ \times & \times & 0 \\ 0 & 0 & 0 \end{pmatrix}, \quad \Delta_2 = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & \times \end{pmatrix}, \quad \Delta_3 = 0,$$

The anomalous implementation

- Case II

- Restrictions: $X_{tR} = 2X_{tL} - X_{dR}$, $X_{tL} \neq -(X_{uR} - X_{tR})$

$$\text{Texture Matching: } \begin{cases} X_{tL} \neq X_{uR} + X_{dR}, \\ X_{tL} \neq \frac{1}{2}(X_{uR} + X_{dR}) \end{cases}$$

$$\text{Anomaly: } X_{uR} \neq -X_{dR}$$

- Higgs charges: $X_{\phi_1} = X_{uR}$, $X_{\phi_2} = -X_{dR}$, $X_{\phi_3} = X_{tL} - X_{dR}$

Yukawa textures

$$\Gamma_1 = 0, \quad \Gamma_2 = \begin{pmatrix} \times & \times & \times \\ \times & \times & \times \\ 0 & 0 & 0 \end{pmatrix}, \quad \Gamma_3 = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ \times & \times & \times \end{pmatrix},$$

$$\Delta_1 = \begin{pmatrix} \times & \times & 0 \\ \times & \times & 0 \\ 0 & 0 & 0 \end{pmatrix}, \quad \Delta_2 = 0, \quad \Delta_3 = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & \times \end{pmatrix}.$$

The anomalous implementation

- **Case III**

- **Restrictions:** $X_{tR} = X_{uR} + X_{tL}$

$$\text{Texture Matching: } \begin{cases} X_{uR} \neq -X_{dR}, X_{tL} \neq X_{uR} + X_{dR}, \\ X_{tL} \neq -(X_{uR} + X_{dR}), X_{tL} \neq \frac{1}{2}(X_{uR} + X_{dR}) \end{cases}$$

$$\text{Anomaly: } X_{tL} \neq 3(X_{uR} + X_{dR})$$

- **Higgs charges:** same as in **Case II**

Yukawa textures

$$\Gamma_1 = 0, \quad \Gamma_2 = \begin{pmatrix} \times & \times & \times \\ \times & \times & \times \\ 0 & 0 & 0 \end{pmatrix}, \quad \Gamma_3 = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ \times & \times & \times \end{pmatrix},$$

$$\Delta_1 = \begin{pmatrix} \times & \times & 0 \\ \times & \times & 0 \\ 0 & 0 & \times \end{pmatrix}, \quad \Delta_2 = 0, \quad \Delta_3 = 0.$$

The scalar sector

- Add **complex scalar singlet**: $S \rightarrow e^{iX_S \theta} S$, with $|\langle 0 | S | 0 \rangle| \gg |\langle 0 | \Phi_i | 0 \rangle|$
- The scalar potential: $V(\Phi, S) = [V(\Phi, S)]_{\text{blind}} + [V(\Phi, S)]_{\text{sen}}$

$$[V(\Phi, S)]_{\text{blind}} = m_i^2 \Phi_i^\dagger \Phi_i + \lambda_{ii,jj} (\Phi_i^\dagger \Phi_i) (\Phi_j^\dagger \Phi_j) + \lambda'_{ij,jj} (\Phi_i^\dagger \Phi_j) (\Phi_j^\dagger \Phi_i) \\ + m_S^2 |S|^2 + \lambda_S |S|^4 + \lambda_i^{\Phi S} (\Phi_i^\dagger \Phi_i) |S|^2$$

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$[V(\Phi, S)]_{\text{blind}}$ is $U(1)^4$ invariant. We need two phase sensitive terms

$$U(1)^4 \longrightarrow U(1)_{PQ} \times U(1)_Y$$

The anomalous implementation

Case	Phase sensitive	Constraint
(1)	$\left\{ \Phi_1^\dagger \Phi_2 \right\} \left\{ \Phi_1^\dagger \Phi_3 \right\}$	$X_{\Phi_2} + X_{\Phi_3} - 2X_{\Phi_1} = 0$
(2)	$\left\{ \Phi_2^\dagger \Phi_1 \right\} \left\{ \Phi_2^\dagger \Phi_3 \right\}$	$X_{\Phi_3} + X_{\Phi_1} - 2X_{\Phi_2} = 0$
(3)	$\left\{ \Phi_3^\dagger \Phi_1 \right\} \left\{ \Phi_3^\dagger \Phi_2 \right\}$	$X_{\Phi_1} + X_{\Phi_2} - 2X_{\Phi_3} = 0$
(4)	$\left\{ \Phi_1^\dagger \Phi_2 \right\} \{S, S^*\}^{k_1}$	$k_1 X_S = \mp (X_{\Phi_2} - X_{\Phi_1})$
(5)	$\left\{ \Phi_1^\dagger \Phi_3 \right\} \{S, S^*\}^{k_2}$	$k_2 X_S = \mp (X_{\Phi_3} - X_{\Phi_1})$
(6)	$\left\{ \Phi_2^\dagger \Phi_3 \right\} \{S, S^*\}^{k_3}$	$k_3 X_S = \mp (X_{\Phi_3} - X_{\Phi_2})$

Combinations

$$T_{1,2} : (4) + (5), \quad T_{3,4} : (4) + (6), \quad T_{5,6} : (5) + (6),$$

$$T_7 : (4) + (5) + (1), \quad T_8 : (4) + (6) + (2), \quad T_9 : (5) + (6) + (3)$$

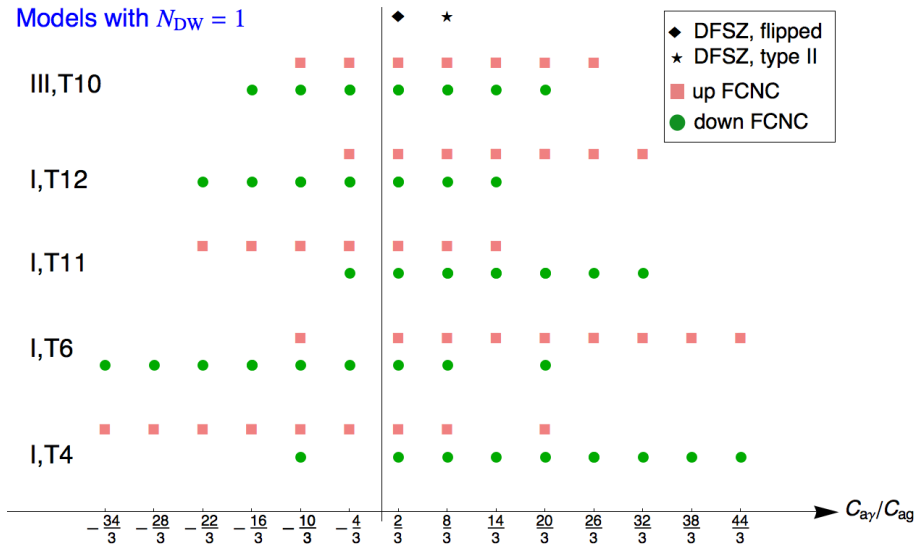
$$T_{10} : (4) + (5) + (6) + (1), \quad T_{11} : (4) + (5) + (6) + (2),$$

$$T_{12} : (4) + (5) + (6) + (3)$$

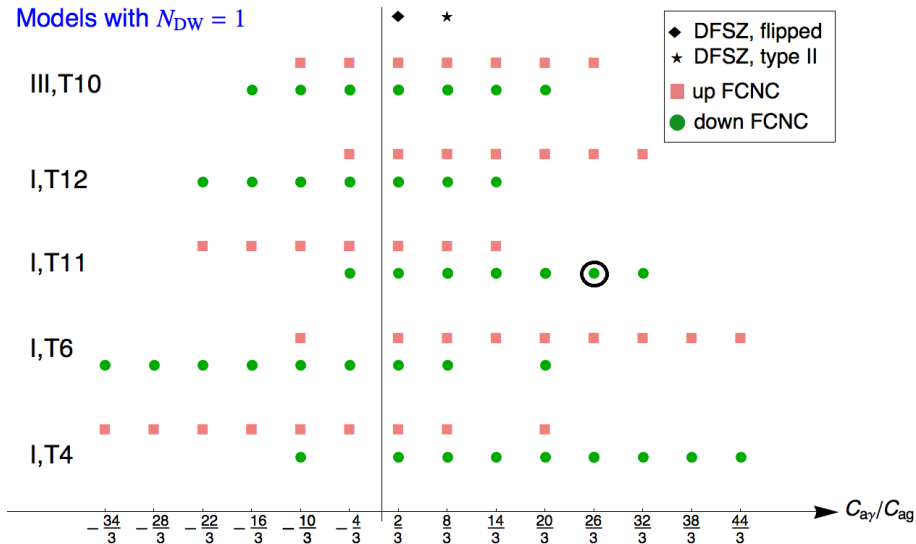
Axion properties

	T_1	T_2	T_3	T_4	T_5	T_6	T_7	T_8	T_9	T_{10}	T_{11}	T_{12}
N_{DW}^I	3	3	2	1	2	1	—	2	2	—	1	1
N_{DW}^{II}	2	4	2	4	6	6	4	4	—	2	2	—
N_{DW}^{III}	—	3	5	7	7	8	2	—	—	1	—	—

Models with $N_{DW} = 1$



Models with $N_{DW} = 1$



Models	KSVZ	DFSZ	3HFPQ
BSM fields	$Q+S$	Φ_2+S	$\Phi_2+\Phi_3+S$
PQ fields	Q, S	$q, l, \Phi_{1,2}, S$ (flavor blind)	$q, l, \Phi_{1,2,3}, S$ (flavor sensitive)
$C_{a\gamma}/C_{ag}$	$6(X_Q^{em})^2$	$2/3, 8/3$	$[-34/3, 44/3]$
Tree-level CtM	No	Yes	Yes
Tree-level FCAI	No	No	Yes
N_{DW}	1	3, 6	1, 2, \dots , 8